



DTCC's Insurance Services e-News

July 15, 2004

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Service Bureau News: EAI Is Live on Positions

EAI Information Systems tops the list of new service bureaus to connect to Insurance Services this month. By interfacing with Insurance Services' [Positions & Valuations](#) service, EAI has enabled its client, Citizens Investment Services Group, to simplify and streamline the transmission of position information and contract details with Ohio National Life Insurance Company.

EAI's move to provide its clients with access to Insurance Services' products demonstrates its commitment to streamlining insurance throughout the industry. By using its own technology hand-in-hand with DTCC's Insurance Services, EAI creates a win-win situation for its clients - particularly for banks and smaller distributors, who previously could not easily access DTCC.

If you are an EAI client, find out how you can get connected today! Contact Matt Essieh at (503) 644-3057 or e-mail matt@eaiinfosys.com. To learn how DTCC's Insurance Services can automate and simplify the processing of insurance products at your firm, please contact your DTCC relationship manager or e-mail ips@dtcc.com.

SIA Insurance Advisory Panel Update

The SIA Insurance Advisory Panel held its quarterly meeting on June 23 in New York City. Participants discussed current and future projects including industry straight-through processing (STP) initiatives. In addition, the group initiated a review of the committee's structure and participation. As the panel begins its second year, members plan to reorganize the committee in order to maximize its effectiveness. The group will also look to leverage the organizational structure of other committees, such as the ACATS/SIA Executive Board, SIA Annuities and Supervision Roundtable, Broker/Dealer Insurance Group and other executive committees. Chairperson nominations will be discussed once the new structure is determined.

The Annuities Subcommittee plans to undertake the following initiatives:

- A working group will be formed to advise on DTCC's new Inforce Transaction service. The working group will outline distribution needs for transactions to be included in the process.

- A working group will be formed to discuss matrix levels. Once distributor expectations are agreed upon, the proposal will be presented to carriers.
- An SIA/NAVA joint charter proposal is being prepared to spearhead discussion and plan solutions to promote STP order entry initiatives.
- 'Best practices' for standard technologies and processes will be determined. The group will identify existing/preferred technologies and practices that meet industry STP needs.

The Life Subcommittee will determine if it can leverage the Broker/Dealer Insurance Group to avoid duplication of effort. Additional information about these initiatives will be communicated in future editions of e-News.

Just A Reminder! IPS User Meeting Survey Ends July 16

If you attended the 2004 IPS User Meeting and have not yet completed our short, [online survey](#), please take a few minutes to tell us what you think! Your feedback will help ensure that the format and agenda of future meetings will continue to meet your needs.

The survey will be available on insurance.dtcc.com through Friday, July 16. Insurance Services extends a special thank you to the 50+ attendees who completed the survey.

Participant News

The following companies have gone into production:

Financial Activity Reporting (FAR)

- Stifel, Nicolaus & Co., Inc.

Licensing & Appointments (L&A)

- Lincoln National Life Insurance Company
- Lincoln National Life Insurance Company/New York

Spread the News!

If you know of others in the industry who would be interested in receiving Insurance Services' e-News, please provide us with their names, titles, phone numbers and e-mail addresses and we will add them to our distribution list.

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